

Table of Contents

1	Introduction	9
1.1	Background.....	9
1.2	Purpose and Objectives.....	10
1.3	Key Concepts.....	12
2	Cleaning Regulations.....	17
3	Risk Management in Cleaning	19
3.1	Risk Management Description Overview and Regulatory Expectations.....	19
3.2	Risk Management Applied to the Cleaning Validation Program.....	24
3.3	Points to Consider When Using QRM Tools for Cleaning Programs	28
4	Cleaning Validation Principles	31
4.1	Cleaning Validation Lifecycle	31
4.2	Documentation.....	39
4.3	The Validation Master Plan	41
4.4	Creation and Execution of Validation Protocols	42
4.5	Periodic Reviews	44
4.6	Cleaning Revalidation	45
5	Cleaning Methodologies	47
5.1	Selection of Cleaning Process	47
5.2	Clean in Place (CIP)	49
5.3	Clean Out of Place (COP).....	56
5.4	Manual Cleaning	59
5.5	Cleaning Parameters and CPPs	60
5.6	Worst-Case Products.....	63
5.7	Detergents	67
5.8	Inactivation and Denaturation	68
6	Acceptance Criteria	71
6.1	Cleaning Residue Limits for Shared Facilities	73
6.2	Acceptance Limits for Fragments of Human Therapeutic Proteins.....	78
6.3	Visual Inspection and Criteria	81
6.4	Process Consistency, Capability, and Control	84
6.5	Bioburden and Endotoxins.....	86
6.6	Summary of Acceptance Criteria Approaches for Cleaning Process Performance Qualifications.....	95
7	Sampling.....	99
7.1	Swab Sampling	99
7.2	Rinse Sampling.....	115
7.3	Placebo Sampling.....	121
7.4	Sampling for Bioburden and Endotoxins.....	121
8	Analytical and Biological Assay Methods	131
8.1	Analytical Methods.....	131
8.2	Test Method Assessment for Bioburden and Endotoxin	135
8.3	Microbiological (Virus, Mycoplasma, and TSE) Studies to Support Cleaning Requirements	137

9 Equipment Issues and Challenges	139
9.1 Design Aspects of Cleanable Process Equipment.....	139
9.2 Solid Dosage Processing.....	141
9.3 Sterile Processing.....	142
9.4 Liquids, Creams, and Ointments.....	143
9.5 API Processing	144
9.6 Biotechnology Equipment	145
9.7 Clinical and Investigational Medicinal Products (IMPs)	146
9.8 Packaging Equipment.....	146
9.9 Dedicated Equipment.....	146
9.10 Single-Use Technology Equipment.....	146
10 Manufacturing Operational Approaches and Impact to Cleaning Practices and Requirements	147
10.1 Facility Layouts and Segregation.....	147
10.2 Manufacturing Process and Platforms.....	148
10.3 Equipment Selection.....	149
10.4 Non-Product and Indirect Product Contact Surfaces	149
10.5 Operational Philosophy.....	150
11 Change Control.....	151
11.1 Elements of Validated Cleaning Processes	151
11.2 Examples of Changes with Corresponding Actions	152
12 Appendix 1 – Example: Swab Recovery Execution Studies	157
13 Appendix 2 – Example: Cleaning Residue Limits Calculations for a Shared Formulation Tank (Product A/B).....	161
14 Appendix 3 – Example: Protocol for Development and Establishment of a Visible Residue Limit (VRL).....	165
15 Appendix 4 – Example: Bioburden Swab and Rinse Recovery Methods.....	171
15.1 Swab Recovery Method.....	171
15.2 Contact Plates Recovery Method	177
15.3 Rinse Water	179
16 Appendix 5 – Example: Endotoxin Swab and Rinse Recovery Methods.....	181
16.1 Endotoxin Swab Recovery Method.....	181
16.2 Endotoxin Rinse Recovery Method.....	182
17 Appendix 6 – Case Study: Establishing Process Parameters for a Manual Cleaning Process	185
17.1 Introduction	185
17.2 Description of Parts and Tools to Be Cleaned	186
17.3 Equipment Design Points to Consider	187
17.4 Manufacturing Process and Product Description.....	188
17.5 Recommended Cleaning Process for Tools and Small Parts	190

18 Appendix 7 – Case Study: Establishing Process Parameters for a Clean In Place Cleaning Process	195
18.1 Introduction	195
18.2 System Description	195
18.3 Scenario 1 – Product A	196
18.4 Scenario 2 – Product B	198
18.5 Scenario 3 – Product C	200
18.6 In-Process Monitoring and Visual Inspection	202
18.7 Points to Consider.....	202
19 Appendix 8 – Case Study: Application of Quality Risk Management Tools – Introduction of a New Product into an Existing Multiproduct Facility	203
19.1 Background Information.....	203
19.2 Risk Assessment.....	205
20 Appendix 9 – References	211
21 Appendix 10 – Glossary.....	219
21.1 Acronyms and Abbreviations	219
21.2 Definitions	223